

FORM PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

03-1106-A

Serial No.

09/651,301



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Friesen et al.

Filing Date:

8/30/2000

Group:

3624

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

<i>Sub</i>	APT Brochure, LIFFE Exchange, circa 1990*
	Trading Screen, INTEX of Bermuda, circa 1984*
	Weber, Information Technology in the Major International Financial Markets, April 7, 1993
	Trading Screen, TIFFE Exchange, circa 1989-1990*
	Trading Screen, MEFF Exchange, circa 1990*
	Cavaletti, Order Routing Article, Futures Magazine, February 1997
<i>↓</i>	Aurora Article, CBOT, circa 1989*

EXAMINER <i>Lyndon Fato</i>	DATE CONSIDERED <i>4/18/05</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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<i>gml</i>	One Click Trading Options, Trading Technologies, circa 1998
	Trading Screen, SWX Exchange, circa 1990*
	Expanding futures and options trading around the world, around the clock, GLOBEX, circa 1989*
	Hansell, The computer that ate Chicago, Institutional Investor, February 1989
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	NYMEX Access Documents, New York Mercantile Exchange, February 28, 1992
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	Grummer, Peake, Sellers, Preliminary Feasibility Study, Bermudex Ltd., November 1980
	Peake, Mendellson, The ABCs of trading on a national market system, Appendix C of Preliminary Feasibility Study, Bermudex Ltd., September 1997
	Peake, The last 15 meters, Appendix E of Preliminary Feasibility Study, Bermudex Ltd., June 15, 1997
	Declaration of Brendan Bradley in Case No. 04 C 5312, November 18, 2004
<i>u</i>	Memorandum Opinion Published February 9, 2005, of Judge James B. Moran in Case No. 04 C 5312

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